FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Vashington, | D.C. | 20549 |
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| <i>N</i> ashington, | D.C. | 20549 | |
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| | | | |

| Check this box if no longer subject to | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP |
|--|--|
| Section 16. Form 4 or Form 5 obligations may continue. See | |
| Instruction 1(b). | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 |

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden 0.5 hours per response:

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|--|---|------------------|-----------|--|--|--|--|----------|-----------------------|--------------------------------|--------------------------------|---|---|--|--|---|-------------------|---------------|-----------|
| Name and Address of Reporting Person* Russo Carl | | | | 2. Issuer Name and Ticker or Trading Symbol CALIX, INC [(CALX)] | | | | | | | (Ch | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
| | | | | | | | · - · | | | | 5 0/) | | | - | X Directo | | X | | - |
| (Last) | (F | (First) (Middle) | | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/11/2023 | | | | | | | | Officer below) | (give title | | Other (below) | specify | |
| C/O CA | LIX, INC. | | | | 4 If / | ۱mo | ndmont | Date | of Original | Filod | /Month/F |)av/Vaar | ١ | 6 1 | adividual or | loint/Crou | n Eilin | a (Chock A | nnlicable |
| 2777 ORCHARD PARKWAY | | | 4.117 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | |
| 2777 ORGINALD PRICEWITI | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | | | |
| (Street) | | | | | | | | | | | | | | | Form f Persor | | re tha | n One Repo | orting |
| SAN JO | SE C. | A : | 95134 | | - | | | | | | | | | | | | | | |
| Rule 10b5-1(c) | | | | | | | | c) Trans | act | ion Ind | dicatio | on | | | | | | | |
| (City) | (S | tate) | (Zip) | | 1,, | ^hoo | lı thia hav | , to in | dianta that a | **** | ation was | mada muu | | | troot inotrus | tion or unitte | | that is inton | dad to |
| | | | | | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | |
| | | Tabl | e I - Noi | n-Deriv | ative | Sec | uritie | s Ad | cquired, | Disp | posed (| of, or E | Bene | ficia | ly Owne | d | | | |
| 1. Title of | Security (Ins | tr. 3) | | 2. Transa | action | 12/ | A. Deeme | ed | 3. | | 4. Secu | rities Acc | auired | (A) or | 5. Amou | ınt of | 6. 0 | wnership | 7. Nature |
| Date (Month/Da | | | | Execution Date if any (Month/Day/Yea | | | Code (Instr. 5) | | | | Beneficially Owned Followin | | Form: Direct (D) or Indirect g (I) (Instr. 4) | | of Indirect Beneficial Ownership | | | | |
| | | | | | | | | Code | v | Amount | nt (A) or (D) | | Price | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| | | Ta | | | | | | | uired, D s, option | | | , | | | Owned | | , | ' | |
| | 1. | la = | | · · · · | | | · | | | | | | | | | l | . 1 | | 144 81 1 |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) | | | n Date, | 4. S. Number of Code (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title a Amount Securiti Underly Derivati (Instr. 3 | | | it of ies ying ive Se | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | e S Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership t (Instr. 4) | | | |
| | | | | | Code | v | (A) | (D) | Date Exercisabl | | xpiration ate | Title | or Nu of | ımber | | | | | |

Explanation of Responses:

\$42.79

1. The option vests and becomes exercisable with respect to 100% of the shares of common stock underlying the option on the earlier of (i) the one-year anniversary of the date of grant (May 11, 2023) or (ii) the day immediately preceding the date of the annual meeting of stockholders that occurs in the year following the year of grant.

(1)

Remarks:

Common

/s/ Tom Gemetti as Attorneyin-Fact for Carl Russo

7,160

\$<mark>0</mark>

Common

05/11/2033

05/12/2023

7,160

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/11/2023

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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