FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, I	D.C.	20549
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OMB APPROVAL		
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) <u>CALIX, INC</u> [ (CALX) ] Russo Carl X Director 10% Owner 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title Other (specify 05/09/2024 below) below) (Last) (First) (Middle) C/O CALIX, INC. 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable 2777 ORCHARD PARKWAY Form filed by One Reporting Person Form filed by More than One Reporting (Street) Person SAN JOSE CA 95134 Rule 10b5-1(c) Transaction Indication (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership Form: Direct (D) or Indirect 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 7. Nature Securities Beneficially of Indirect Beneficial (Month/Day/Year) if any Code (Instr. 5) (Month/Day/Year) 8) Owned Following (I) (Instr. 4) Ownership (Instr. 4) (A) or (D) Transaction(s) Price Code Amount (Instr. 3 and 4) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities Underlying Derivative Security 1. Title of Derivative 3A. Deemed Execution Date, 8. Price of Derivative 9. Number of derivative 11. Nature of Indirect 3. Transaction 5. Number Ownership (Month/Day/Year) Derivative Security (Instr. 3) or Exercise Price of if any Code (Instr. 8) Security (Instr. 5) Securities Form: Direct (D) Beneficial (Month/Day/Year) Securities Beneficially Ownership (Instr. 3 and 4) Owned Following or Indirect (I) (Instr. 4) Derivative Acquired (Instr. 4) Security (A) or Disposed Reported Transaction(s) (Instr. 4) of (D) (Instr. 3, 4 and 5) Amount Number Date Expiration ٧ (A) (D) Title Shares Exercisable Stock

## **Explanation of Responses:**

\$30.07

1. The option vests and becomes exercisable with respect to 100% of the shares of common stock underlying the option on the earlier of (i) the one-year anniversary of the date of grant (May 9, 2024) or (ii) the day immediately preceding the date of the annual meeting of stockholders that occurs in the year following the year of grant

(1)

## Remarks:

Options

(right to buy)

> /s/ Tom Gemetti as Attorney-in-05/13/2024 Fact for Carl Russo

**\$0** 

12,262

D

\*\* Signature of Reporting Person Date

12.262

Common

05/09/2034

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/09/2024

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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